

Office of the President

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November 5, 2018

To the Stevens Community:

Every year, I write to the community to emphasize the importance of compliance with legal and ethical principles in all that we do at Stevens. These values are critically important to our successful implementation of the Strategic Plan.

An <u>updated edition of the Compliance Guide</u>, which was prepared with input from the various offices responsible for compliance at Stevens, is posted on the Stevens website. I ask that each of you read this Compliance Guide very carefully, discuss it within your office and with colleagues across campus, work diligently to implement the practices and approaches described in the Guide, and bring any questions or comments to the attention of Vice President Kathy Schulz, General Counsel or Ms. Fran Bouchoux, Chief Compliance Officer. I ask that faculty and staff with management responsibilities make time in staff meetings to review the Guide and convey support for the principles and values embodied within it. Working together collaboratively across the institution, both in our daily work and in identifying and solving compliance issues, will stand us in good stead as individual employees, as a community that values compliance with law, and as a successful university capable of instilling these same values in our students.

Please keep this memorandum and the <u>link to the Compliance Guide</u> available for future reference. I also encourage you to read the <u>Stevens Code of Ethics</u>.

I have asked all Vice Presidents to ensure that compliance is an important focus within his or her division, and I ask that each of you commit to increasing your focus on compliance issues and strive to make compliance part of your day-to-day work at Stevens. In these efforts, please be as collaborative with your colleagues across the campus as possible. In strengthening our culture of compliance, Stevens will grow stronger as a university.

Per aspera ad astra,

Nariman Farvardin

President