



October 3, 2013

To the Stevens Community:

Every October, I write to the community to emphasize the importance of compliance with legal and ethical principles in all that we do at Stevens. These values are critically important to our successful implementation of the Strategic Plan.

I am attaching an updated edition of the Compliance Guide which was prepared with input from the various offices responsible for compliance at Stevens. I ask that each of you read this Compliance Guide very carefully, discuss it within your office and with colleagues across campus, work diligently to implement the practices and approaches described in the Guide and bring any questions or comments to the attention of our General Counsel or Chief Compliance Officer. I ask that faculty and staff with management responsibilities make time in staff meetings to review the Guide and convey support for the principles and values embodied within it. Working together collaboratively across the institution, both in our daily work and in identifying and solving compliance issues, will stand us in good stead as individual employees, as a community that values compliance with law and as a successful university capable of instilling these same values in our students.

I also encourage you to read the Stevens Code of Ethics which may be found at [http://www.stevens.edu/provost/sites/default/files/Code\\_of\\_Ethics.pdf](http://www.stevens.edu/provost/sites/default/files/Code_of_Ethics.pdf).

Please keep this memorandum and the attached Guide for future reference. I will write to the community each year to provide updated information about compliance resources at Stevens. I ask that each of you commit to increasing your focus on compliance issues and strive to make compliance part of your day-to-day work on behalf of Stevens. In these efforts, please be as collaborative with your colleagues across the campus as possible. Stevens will benefit greatly if we are successful in our compliance efforts.

Sincerely,

A handwritten signature in black ink, appearing to read 'N. Farvardin', is positioned below the word 'Sincerely,'.

Nariman Farvardin  
President